

Shareholding Pattern Under Regulation 31 of SEBI(Listing Obligations and Disclosure Requirementst) Regulations, 2015

1	Name of Listed Entity	AASWA TRADING AND EXPORTS LIMITED	
2	Scrip Code/Name of Scrip/Class of Security	BSE - 512038	
3	Share Holding Pattern Filed under: Reg. 31 (1) (a)/Reg.31(1)(b)/Reg31(1) (c)		
	a. If under 31(1)(b) then indicate the report for Quarter ending	30th September, 2018	
	b. If under 31(1)(c) then indicate date of allotment/extinguishment/Listing Date	N.A.	
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		
	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	-	√
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	√
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	√
4	Whether the Listed Entity has any shares in locked-in?	-	√
5	Whether any shares held by promoters are pledge or otherwise encumbered?	-	√
	*If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.		

2																		
Category (I)	Category of shareholders (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= IV+V+VI	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			Nos (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class: Eg: X	Class: Eg: Y	Total								
(A)	Promoter & Promoter Group	13	500720	0	0	500720	69.54	500720	0	500720	69.54	0	69.54	0	0	0	0	60600
(B)	Public	357	219280	0	0	219280	30.46	219280	0	219280	30.46	0	30.46	0	0	0	0	0
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	370	720000	0	0	720000	100	720000	0	720000	100	0	100	0	0	0	0	60600

Table II-Statement showing shareholding pattern of the Promoters and Promoters Group

SN	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+ (X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held(b)	No. (a)		As a % of total shares held(b)
									Class X	Class Y	Total								
1	Indian																		
(a)	Individuals/Hindu undivided Family		11	497670	0	0	497670	69.1208	497670	0	497670	69.12	0	0	0	0	0	0	0
	Shefali Chintan Parikh			432920	0	0	432920	60.1278	432920	0	432920	60.13	0	0	0	0	0	0	0
	Uttara Chintan Parikh			60600	0	0	60600	8.4167	60600	0	60600	8.42	0	0	0	0	0	0	60600
	Navnit C M Parikh			3860	0	0	3860	0.5361	3860	0	3860	0.54	0	0	0	0	0	0	0
	Navinchandra Kantilal Morakhia			50	0	0	50	0.0069	50	0	50	0.01	0	0	0	0	0	0	0
	Sangeeta Sevantilal Morakhia			50	0	0	50	0.0069	50	0	50	0.01	0	0	0	0	0	0	0
	Shreyas Sevantilal Morakhia			50	0	0	50	0.0069	50	0	50	0.01	0	0	0	0	0	0	0
	Shripal Sevantilal Morakhia			60	0	0	60	0.0083	60	0	60	0.01	0	0	0	0	0	0	0
	Vimal Sevantilal Morakhia			50	0	0	50	0.0069	50	0	50	0.01	0	0	0	0	0	0	0
	Harsh Anubhai Javeri			10	0	0	10	0.0014	10	0	10	0.00	0	0	0	0	0	0	0
	Swarup H. Javeri			10	0	0	10	0.0014	10	0	10	0.00	0	0	0	0	0	0	0
	Urvashi A. Javeri			10	0	0	10	0.0014	10	0	10	0.00	0	0	0	0	0	0	0
(b)	Central Government/State Governments		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Name						0	0.00	0		0	0.00							
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Name						0	0.00	0		0	0.00							
(d)	Any Other (Bodies Corporate)		2	3050	0	0	3050	0.4236	3050	0	3050	0.4236	0	0	0	0	0	0	0
	Akalu Holdings Pvt. Ltd.			2950	0	0	2950	0.4097	2950	0	2950	0.4097	0	0	0	0	0	0	0
	Small Three Co-Ordinates Inv. P. Ltd.			100	0	0	100	0.0139	100	0	100	0.0139	0	0	0	0	0	0	0
	Sub-Total (A)(1)		13	500720	0	0	500720	69.5444	500720	0	500720	69.5444	0	0	0	0	0	0	0
2	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individual)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name																		
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name																		
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name																		
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name																		
(f)	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name																		
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoters and Promoter Group (A)=(A)(1)+(A)(2)		13	500720	0	0	500720	69.5444	500720	0	500720	69.5444	0	0	0	0	0	0	60600

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc

Note:

(1) PAN would not be displayed on website of Stock Exchange (s)

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28 (3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulation,2011

Table III-Statement showing shareholding pattern of the Public Shareholders

SN	Category & Name of shareholders (I)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
1	Institutions																	
(a)	Mutual Funds/	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Name						0.00	0	0	0	0.00							
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Name						0.00	0	0	0	0.00							
(c)	Alternate Investment Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Name						0.00	0	0	0	0.00							
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Name						0.00	0	0	0	0.00							
(e)	Foreign Portfolio Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Name						0.00	0	0	0	0.00							
(f)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Name						0.00	0	0	0	0.00							
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Name						0.00	0	0	0	0.00							
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Name						0.00	0	0	0	0.00							
(i)	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Foreign Institutional Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Sub Total (B)(1)	0	0	0	0	0	0	0	0	0	0.00	0.00	0.00	0.00	0	0	0	0
2	Central Government/State Government (s)/President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name						0	0	0	0	0							
	Sub Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non Institutions																	
(a)	Individuals-																	
	i. Individual shareholders holding nominal share capital upto Rs. 2 Lakhs	353	183250	0	0	183250	25.4514	183250	0	183250	25.4514	0	25.4514	0	0	0	0	0
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
(b)	NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Name						0.00	0	0	0	0.00							
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Name						0.00	0	0	0	0.00							
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
(e)	Any Other (specify)	4	36030			36030	5.0042	36030		36030	5.0042		5.0042					0
1	Trusts	4	36030	0	0	36030	5.0042	36030	0	36030	5.0042	0	5.0042	0	0	0	0	0
	More than 1% of shareholding																	
	Virendra R. Sheth (Shivam Trust)	1	9030	0	0	9030	1.2542	9030	0	9030	1.2542	0	1.2542	0	0	0	0	0
	Ambalal C. Mehta (Sadhana Trust)	1	9000	0	0	9000	1.2500	9000	0	9000	1.2500	0	1.2500	0	0	0	0	0
	Lalshankar S. Sevak (Saumya Trust)	1	9000	0	0	9000	1.2500	9000	0	9000	1.2500	0	1.2500	0	0	0	0	0
	Suryakant N. Patel (Suvidha Trust)	1	9000	0	0	9000	1.2500	9000	0	9000	1.2500	0	1.2500	0	0	0	0	0
	Sub-Total (B) (3)	357	219280	0	0	219280	30.4556	219280	0	219280	30.4556	0	30.4556	0	0	0	0	0
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	357	219280	0	0	219280	30.4556	219280	0	219280	30.4556	0	30.4556	0	0	0	0	0

Details of the Shareholders acting as persons in concert including their Shareholding (No. of %)

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) Pan would not be displayed on website of stock exchange (s)

(2) The above format needs to be disclosed along with the name of Following Persons

Institutions/Non Institutions holding more than 1% of total number of shares

(3) w.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian

Table IV-Statement showing shareholding pattern of the Non Promoter_Non Public Shareholders

SN	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+ (X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held(b)	No. (Not Applicable)		As a % of total shares held (Not Applicable)
									Class X	Class Y	Total								
1	Custodian/DR Holder																		
(a)	Name of DR Holder (if available)																		
(i)																			
(ii)																			
	Total (C) (1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulation, 2014)																		
(a)	Name																		
	Total (C) (2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non- Promoter- Non Public Shareholding (C)=(C (1)+ (C) (2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Note:																			
(1) PAN would not be displayed on website of the Stock Exchange(s)																			
(2) The above format needs to disclose name of all holders more than 1% of total number of shares																			
(3) w.r.t the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.																			