

CLAUSE 35

(1) (a) Statement Showing Shareholding Pattern

| | | | |
|--|--------------------------------------|--|---|
| Scrip Code, Name of the Scrip, Class of Security : Ahmedabad Stock Exchange-1070, Aaswa Trading and Exports Ltd., Equity Shares | | | |
| Scrip Code, Name of the Scrip, Class of Security : Bombay Stock Exchange-12038, Aaswa Trading and Exports Ltd., Equity Shares | | | |
| QUARTER ENDED :- 30/06/2014 | | | |
| Partly paid-up shares :- | No. of partly paid-up shares | As a % of total no. of partly paid-up shares | As a % of total no. of shares of the company |
| Held by promoter/promoter group | 0 | 0.00 | 0.00 |
| Held by public | 0 | 0.00 | 0.00 |
| Total | 0 | 0.00 | 0.00 |
| Outstanding convertible securities :- | No. of outstanding securities | As a % of total no. of outstanding convertible securities | As a % of total no. of shares of the company, assuming full conversion of the convertible securities |
| Held by promoter/promoter group | 0 | 0.00 | 0.00 |
| Held by public | 0 | 0.00 | 0.00 |
| Total | 0 | 0.00 | 0.00 |
| Warrants :- | No. of warrants | As a % of total no. of warrants | As a % of total no. of shares of the company, assuming full conversion of Warrants |
| Held by promoter/promoter group | 0 | 0.00 | 0.00 |
| Held by public | 0 | 0.00 | 0.00 |
| Total | 0 | 0.00 | 0.00 |
| Total paid-up capital of the company, assuming full conversion of warrants and convertible securities |NIL..... | | |

For AASWA TRADING AND EXPORTS LIMITED


AUTHORISED PERSON

| Category Code | Category of Shareholder | Number of Share Holders | Total Number of Shares | Number of Shares held in dematerialized form | Total shareholding as a percentage of total number of shares | | Shares Pledged or otherwise encumbered | |
|---------------|--|-------------------------|------------------------|--|--|-----------------------------|--|-----------------------------------|
| | | | | | As a % Age of (A+B) (VI) | As a % Age of (A+B+C) (VII) | Number of shares (VIII) | As a % Age (IX) = (VIII)/(IV)*100 |
| (1) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) |
| (A) | Promoter and Promoter Group | | | | | | | |
| (1) | Indian | | | | | | | |
| (a) | Individuals/Hindu Undivided Family | 12 | 497670 | - | | | 0 | 0.00 |
| (b) | Central Government/State Government(s) | - | - | - | | | | |
| (c) | Bodies Corporate | 2 | 3050 | - | | | 0 | 0.00 |
| (d) | Financial Institutions/Banks | - | - | - | | | | |
| (e) | Any Other (specify) | - | - | - | | | | |
| | Sub - Total (A) (1) | 14 | 500720 | 0 | | | 0 | 0.00 |
| (2) | Foreign | | | | | | | |
| (a) | Individuals (Non Resident Individuals/Foreign Individuals) | - | - | - | | | | |
| (b) | Bodies Corporate | - | - | - | | | | |
| (c) | Institutions | - | - | - | | | | |
| (d) | Qualified Foreign Investor | - | - | - | | | | |
| (e) | Any Other (specify) | - | - | - | | | | |
| | Sub - Total (A) (2) | 0 | 0 | 0 | | | 0 | 0.00 |
| | Total Shareholding of Promoter (A) = (A)(1) + (A)(2) and Promoter Group | 14 | 500720 | 0 | 69.54 | 69.54 | 0 | 0.00 |
| (B) | Public Shareholding 3 | | | | | | | |
| (1) | Institutions | | | | | | | |
| (a) | Mutual Funds / UTI | - | - | - | | | | |
| (b) | Financial Institutions/Banks | - | - | - | | | | |
| (c) | Central Government/State Government(s) | - | - | - | | | | |
| (d) | Venture Capital Funds | - | - | - | | | | |
| (e) | Insurance Companies | - | - | - | | | | |
| (f) | Foreign Institutional Investors | - | - | - | | | | |
| (g) | Foreign Venture Capital Investors | - | - | - | | | | |
| (h) | Qualified Foreign Investor | - | - | - | | | | |
| (i) | Any Other (specify) | - | - | - | | | | |
| | Sub - Total (B) (1) | 0 | 0 | 0 | | | 0 | 0.00 |
| (2) | Non - Institutions | | | | | | | |
| (a) | Bodies Corporate | 1 | 800 | - | | | | |
| (b) | Individuals - | | | | | | | |
| i. | Individuals Shareholders Holding Nominal Share Capital up to Rs. 1 lakh. | 357 | 218480 | - | | | | |
| ii. | Individuals Shareholders Holding Nominal Share Capital in excess of Rs. 1 lakh. | - | - | - | | | | |
| (c) | Qualified Foreign investor | - | - | - | | | | |
| (d) | Any Other (specify) | - | - | - | | | | |
| i. | OCB's | - | - | - | | | | |
| ii. | Individuals (Non Resident Individuals) | - | - | - | | | | |
| | Sub - Total (B) (2) | 358 | 219280 | 0 | | | 0 | 0.00 |
| | Total Public Shareholding (B) = (B)(1) + (B)(2) | 358 | 219280 | 0 | 30.46 | 30.46 | 0 | 0.00 |
| | TOTAL (A)+(B) | 372 | 720000 | 0 | 100.00 | 100.00 | 0 | 0.00 |
| (C) | Shares held by Custodians and against which- Depository Receipts have been issued | | | | | | | |
| (1) | Promoter and Promoter Group | 0 | 0 | 0 | | | 0 | 0.00 |
| (2) | Public | 0 | 0 | 0 | | | 0 | 0.00 |
| | TOTAL (C) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| | GRAND TOTAL (A) + (B) + (C) | 372 | 720000 | 0 | 100.00 | 100.00 | 0 | 0.00 |

For, AASWA TRADING AND EXPORTS LIMITED



AUTHORISED PERSON

(1) (b) Statement showing holding of securities (including shares, warrant, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

| Sr. No. | Name of the shareholders (II) | Details of Shares held | | Encumbered shares | | | Details of warrant | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII) |
|---------|------------------------------------|--------------------------|--|-------------------|-------------------------------------|--|-------------------------------|--|---|--|--|
| | | No. of Shares held (III) | As a % of grand total (A)+(B)+(C) (IV) | Number (V) | As a % Age (VI)=(V)/(III)*100 (VII) | As a % of grand total (A)+(B)+(C) of sub-clause (I)(a) (VII) | Number of warrant held (VIII) | As a % total number of warrants of the same class (IX) | Number of convertible securities held (X) | As a % total number of convertible securities of the same class (XI) | |
| 1 | SHEFALI PARIKH | 432920 | 60.13 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 60.13 |
| 2 | UTTARA PARIKH | 60600 | 8.42 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 8.42 |
| 3 | NAVIT CHANDULAL PARIKH | 3860 | 0.54 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.54 |
| 4 | NAVINCHANDRA KANTILAL MORAKHIA | 50 | 0.01 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.01 |
| 5 | SANGEETA SEVANTILAL MORAKHIA | 50 | 0.01 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.01 |
| 6 | SHREYAS SEVANTILAL MORAKHIA | 50 | 0.01 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.01 |
| 7 | SHRIPAL SEVANTILAL MORAKHIA | 50 | 0.01 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.01 |
| 8 | VIMLA SEVANTILAL MORAKHIA | 50 | 0.01 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.01 |
| 9 | HARSH ANUBHAI JAVERI | 10 | 0.00 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 10 | SHRIPAL SEVANTILAL MORAKHIA | 10 | 0.00 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 11 | SWARUP H. JAVERI | 10 | 0.00 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 12 | URVASHI A. JAVERI | 10 | 0.00 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| | TOTAL - A | 497670 | 69.12 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 69.12 |
| 1 | AKALU HOLDINGS PVT. LTD. | 2950 | 0.41 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.41 |
| 2 | SMALL THREE CO-ORDINATES INV.P.LTD | 100 | 0.01 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.01 |
| | TOTAL - B | 3050 | 0.42 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.42 |
| | TOTAL - A + B | 500720 | 69.54 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 69.54 |

For, AASWA TRADING AND EXPORTS LIMITED



AUTHORISED PERSON

(I) (c)(i) Statement showing holding of securities (including shares, warrant, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr. No. | Name of the shareholders | Number of Shares held | Shares as a % Age of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at par (I)(a) above) | Details of warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|---|-----------------------|--|-------------------------|---|---------------------------------------|---|--|
| | | | | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t. total convertible securities of the same class | |
| 1 | SHIVAM TRUST THROUGH ITS NOMINEE MR. VIRENDRA R. SHETH | 9030 | 1.25 | 0 | 0.00 | 0 | 0.00 | 1.25 |
| 2 | SADHANA TRUST THROUGH ITS NOMINEE MR. AMBALAL C. MEHTA | 9000 | 1.25 | 0 | 0.00 | 0 | 0.00 | 1.25 |
| 3 | SAUMYA TRUST THROUGH ITS NOMINEE MR. LALSHANKAR S. SEVAK | 9000 | 1.25 | 0 | 0.00 | 0 | 0.00 | 1.25 |
| 4 | SUVIDHA TRUST THROUGH ITS NOMINEE MR. SURYAKANT N. PATEL | 9000 | 1.25 | 0 | 0.00 | 0 | 0.00 | 1.25 |
| 5 | ARUN BHOGILAL MODY | 7950 | 1.10 | 0 | 0.00 | 0 | 0.00 | 1.10 |
| 6 | RAMESHCHANDRA GOKALDAS SHAH | 7500 | 1.04 | 0 | 0.00 | 0 | 0.00 | 1.04 |
| | TOTAL | 51480 | 7.15 | 0 | 0.00 | 0 | 0.00 | 7.15 |

(I) (c)(ii) Statement showing holding of securities (including shares, warrant, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

| Sr. No. | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | Number of Shares | Shares as a % Age of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at par (I)(a) above) | Details of warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|---|------------------|--|-------------------------|---|---------------------------------------|---|--|
| | | | | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t. total convertible securities of the same class | |
| 1 | NIL | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| | TOTAL | NIL | NIL | NIL | NIL | NIL | NIL | NIL |

(I) (d) Statement Showing details of locked-in shares

| Sr. No. | Name of the shareholders | Number of Locked - in shares | Locked - in shares as a % Age of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above) |
|---------|--------------------------|------------------------------|--|
| 1 | NIL | NIL | NIL |
| | TOTAL | NIL | NIL |

For, AASWA TRADING AND EXPORTS LIMITED

AUTHORISED PERSON

(II) (a) Statement Showing details of Depository Receipts (DRs)

| Sr. No. | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a %Age of total number of shares { i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|---------|---|---------------------------|---|---|
| 1 | NIL | NIL | NIL | NIL |
| | TOTAL | NIL | NIL | NIL |

(II) (b) Statement Showing holding of Depository Receipts (DRs). Where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares.

| Sr. No. | Name of the DR Holder | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a %Age of total number of shares { i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|---------|-----------------------|---|---|---|
| 1 | NIL | NIL | NIL | NIL |
| | TOTAL | NIL | NIL | NIL |

For, AASWA TRADING AND EXPORTS LIMITED



AUTHORISED PERSON

(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

| Category Code (1) | Category of Shareholder (II) | Number of Voting Rights held in each class of securities | | | Total Voting Rights (III+IV+V) (VI) | Total Voting Rights i.e. (VI) | |
|----------------------|--|--|----------------|---------------|---|-------------------------------|---------------------------------|
| | | Equity Shares (III) | Others (IV) | Others (V) | | As a % Age of (A+B) (VII) | As a % Age of (A+B+C) (VIII) |
| (A) | Promoter and Promoter Group | | | | | | |
| (1) | Indian | | | | | | |
| | (a) Individuals/Hindu Undivided Family | 497670 | 0 | 0 | 497670 | | |
| | (b) Central Government/State Government(s) | | | | | | |
| | (c) Bodies Corporate | 3050 | 0 | 0 | 3050 | | |
| | (d) Financial Institutions/Banks | | | | | | |
| | (e) Any Other (specify) | | | | | | |
| | Sub - Total (A) (1) | 500720 | 0 | 0 | 500720 | | |
| (2) | Foreign | | | | | | |
| | (a) Individuals (Non Resident Individuals/Foreign Individuals) | | | | | | |
| | (b) Bodies Corporate | | | | | | |
| | (c) Institutions | | | | | | |
| | (d) Qualified Foreign Investor | | | | | | |
| | (e) Any Other (specify) | | | | | | |
| | Sub - Total (A) (2) | 0 | 0 | 0 | 0 | | |
| | Total Shareholding of Promoter (A) = (A)(1) + (A)(2) and Promoter Group | 500720 | 0 | 0 | 500720 | 69.54 | 69.54 |
| (B) | Public Shareholding | | | | | | |
| (1) | Institutions | | | | | | |
| | (a) Mutual Funds / UTI | | | | | | |
| | (b) Financial Institutions/Banks | | | | | | |
| | (c) Central Government/State Government(s) | | | | | | |
| | (d) Venture Capital Funds | | | | | | |
| | (e) Insurance Companies | | | | | | |
| | (f) Foreign Institutional Investors | | | | | | |
| | (g) Foreign Venture Capital Investors | | | | | | |
| | (h) Qualified Foreign Investor | | | | | | |
| | (i) Any Other (specify) | | | | | | |
| | Sub - Total (B) (1) | 0 | 0 | 0 | 0 | | |
| (2) | Non - Institutions | | | | | | |
| | (a) Bodies Corporate | 800 | 0 | 0 | 800 | | |
| | (b) Individuals - | | | | | | |
| | i. Individuals Shareholders Holding Nominal Share Capital up to Rs. 1 lakh. | 218480 | 0 | 0 | 218480 | | |
| | ii. Individuals Shareholders Holding Nominal Share Capital in excess of Rs. 1 lakh. | | | | | | |
| | (c) Qualified Foreign Investor | | | | | | |
| | (d) Any Other (specify) | | | | | | |
| | i. OCB's | | | | | | |
| | ii. Individuals (Non Resident Individuals) | | | | | | |
| | Sub - Total (B) (2) | 219280 | 0 | 0 | 219280 | | |
| | Total Public Shareholding (B) = (B)(1) + (B)(2) | 219280 | 0 | 0 | 219280 | 30.46 | 30.46 |
| | TOTAL (A)+(B) | 720000 | 0 | 0 | 720000 | 100.00 | 100.00 |
| (C) | Shares held by Custodians and against which- Depository Receipts have been issued | 0 | 0 | 0 | 0 | | 0.00 |
| | GRAND TOTAL (A) + (B) + (C) | 720000 | 0 | 0 | 720000 | 100.00 | 100.00 |

For, AASWA TRADING AND EXPORTS LIMITED



AUTHORISED PERSON