

CLAUSE 35

(1) (a) Statement Showing Shareholding Pattern

Scrip Code, Name of the Scrip, Class of Security : Ahmedabad Stock Exchange-1070, Aaswa Trading and Exports Ltd., Equity Shares			
Scrip Code, Name of the Scrip, Class of Security : Bombay Stock Exchange-12038, Aaswa Trading and Exports Ltd., Equity Shares			
QUARTER ENDED :- 31/03/2014			
Partly paid-up shares :-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Outstanding convertible securities :-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Warrants :-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of Warrants
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Total paid-up capital of the company, assuming full conversion of warrants and convertible securitiesNIL.....		

For, **AASWA TRADING AND EXPORTS LIMITED**


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Category Code	Category of Shareholder	Number of Share Holders	Total Number of Shares	Number of Shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a % Age of (A+B) (VI)	As a % Age of (A+B+C) (VII)	Number of shares (VIII)	As a % Age (IX) = (VIII)/(IV)*100
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals/Hindu Undivided Family	12	497670	-			0	0.00
(b)	Central Government/State Government(s)	-	-	-				
(c)	Bodies Corporate	2	3050	-			0	0.00
(d)	Financial Institutions/Banks	-	-	-				
(e)	Any Other (specify)	-	-	-				
	Sub - Total (A) (1)	14	500720	0			0	0.00
(2)	Foreign							
(a)	Individuals (Non Resident Individuals/Foreign Individuals)	-	-	-				
(b)	Bodies Corporate	-	-	-				
(c)	Institutions	-	-	-				
(d)	Qualified Foreign Investor	-	-	-				
(e)	Any Other (specify)	-	-	-				
	Sub - Total (A) (2)	0	0	0			0	0.00
	Total Shareholding of Promoter (A) = (A)(1) + (A)(2) and Promoter Group	14	500720	0	69.54	69.54	0	0.00
(B)	Public Shareholding 3							
(1)	Institutions							
(a)	Mutual Funds / UTI	-	-	-				
(b)	Financial Institutions/Banks	-	-	-				
(c)	Central Government/State Government(s)	-	-	-				
(d)	Venture Capital Funds	-	-	-				
(e)	Insurance Companies	-	-	-				
(f)	Foreign Institutional Investors	-	-	-				
(g)	Foreign Venture Capital Investors	-	-	-				
(h)	Qualified Foreign Investor	-	-	-				
(i)	Any Other (specify)	-	-	-				
	Sub - Total (B) (1)	0	0	0			0	0.00
(2)	Non - Institutions							
(a)	Bodies Corporate	1	800	-				
(b)	Individuals -							
	i. Individuals Shareholders Holding Nominal Share Capital up to Rs. 1 lakh.	357	218480	-				
	ii. Individuals Shareholders Holding Nominal Share Capital in excess of Rs. 1 lakh.	-	-	-				
(c)	Qualified Foreign Investor	-	-	-				
(d)	Any Other (specify)	-	-	-				
	i. OCB's	-	-	-				
	ii. Individuals (Non Resident Individuals)	-	-	-				
	Sub - Total (B) (2)	358	219280	0			0	0.00
	Total Public Shareholding (B) = (B)(1) + (B)(2)	358	219280	0	30.46	30.46	0	0.00
	TOTAL (A)+(B)	372	720000	0	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which- Depository Receipts have been issued							
(1)	Promoter and Promoter Group	0	0	0			0	0.00
(2)	Public	0	0	0			0	0.00
	TOTAL (C)	0	0	0	0.00	0.00	0	0.00
	GRAND TOTAL (A) + (B) + (C)	372	720000	0	100.00	100.00	0	0.00

For, AASWA TRADING AND EXPORTS LIMITED

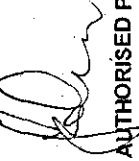


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(1) (b) Statement showing holding of securities (including shares, warrant, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholders	Shares held		Encumbered shares			Details of warrant			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII)
		No. of Shares held	As a % of grand total (A)+(B)+(C)	Number (V)	As a % Age (VI)=(V)/ (III)*100	As a % of grand total (A)+(B)+(C) of sub-clause (IXa)	Number of warrant held (VIII)	As a % total number of warrants of the same class (IX)	Number of convertible securities held (X)	As a % total number of convertible securities of the same class (XI)		
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/ (III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	
1	SHEFALI PARIKH	432920	60.13	0	0.00	0.00	0	0.00	0	0.00	60.13	
2	UTTARA PARIKH	60600	8.42	0	0.00	0.00	0	0.00	0	0.00	8.42	
3	NAVIT CHANDULAL PARIKH	3860	0.54	0	0.00	0.00	0	0.00	0	0.00	0.54	
4	NAVICHANDRA KANTIL MORAKHIA	50	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01	
5	SANGEETA SEVANTIL MORAKHIA	50	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01	
6	SHREYAS SEVANTIL MORAKHIA	50	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01	
7	SHRIPAL SEVANTIL MORAKHIA	50	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01	
8	VIMLA SEVANTIL MORAKHIA	50	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01	
9	HARSH ANUBHAI JAVERI	10	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00	
10	SHRIPAL SEVANTIL MORAKHIA	10	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00	
11	SWARUP H. JAVERI	10	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00	
12	URVASHI A. JAVERI	10	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00	
	TOTAL - A	432970	63.12	0	0.00	0.00	0	0.00	0	0.00	69.12	
1	AKALU HOLDINGS PVT. LTD.	2950	0.41	0	0.00	0.00	0	0.00	0	0.00	0.41	
2	SMALL THREE CO-ORDINATES INV.P.LTD	100	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01	
	TOTAL - B	3050	0.42	0	0.00	0.00	0	0.00	0	0.00	0.42	
	TOTAL - A + B	500720	69.54	0	0.00	0.00	0	0.00	0	0.00	69.54	

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(1)(c)(i) Statement showing holding of securities (including shares, warrant, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholders	Number of Shares held	Shares as a % Age of total number of shares { i.e. Grand Total (A)+(B)+(C) indicated in Statement at par (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total convertible securities of the same class	
1	SHIVAM TRUST THROUGH ITS NOMINEE MR. VIRENDRAR. SHETH	9030	1.25	0	0.00	0	0.00	1.25
2	SADHANA TRUST THROUGH ITS NOMINEE MR. AMBALAL C. MEHTA	9000	1.25	0	0.00	0	0.00	1.25
3	SAUMYA TRUST THROUGH ITS NOMINEE MR. LALSHANKAR S. SEVAK	9000	1.25	0	0.00	0	0.00	1.25
4	SUVIDHA TRUST THROUGH ITS NOMINEE MR. SURYAKANT N. PATEL	9000	1.25	0	0.00	0	0.00	1.25
5	ARUN BHOGILAL MODY	7950	1.10	0	0.00	0	0.00	1.10
6	RAMESHCHANDRA GOKALDAS SHAH	7500	1.04	0	0.00	0	0.00	1.04
	TOTAL	51480	7.15	0	0.00	0	0.00	7.15

(1)(c)(ii) Statement showing holding of securities (including shares, warrant, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of Shares	Shares as a % Age of total number of shares { i.e. Grand Total (A)+(B)+(C) indicated in Statement at par (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total convertible securities of the same class	
1	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	TOTAL	NIL	NIL	NIL	NIL	NIL	NIL	NIL

(1)(d) Statement Showing details of locked-in shares

Sr. No.	Name of the shareholders	Number of locked - in shares	Locked - in number of shares as a % Age of total number of shares { i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above }
1	NIL	NIL	NIL
	TOTAL	NIL	NIL

For, AASWA TRADING AND EXPORTS LIMITED


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(II) (a) Statement Showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a %Age of total number of shares { i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	NIL	NIL	NIL
	TOTAL	NIL	NIL	NIL

(II) (b) Statement Showing holding of Depository Receipts (DRs). Where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares.

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a %Age of total number of shares { i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	NIL	NIL	NIL
	TOTAL	NIL	NIL	NIL

For AASWA TRADING AND EXPORTS LIMITED



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(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

Category Code (I)	Category of Shareholder (II)	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V) (VI)	Total Voting Rights i.e. (VI)	
		Equity Shares (III)	Others (IV)	Others (V)		As a % Age of (A+B) (VII)	As a % Age of (A+B+C) (VIII)
(A)	Promoter and Promoter Group						
(1)	Indian						
	(a) Individuals/Hindu Undivided Family	497670	0	0	497670		
	(b) Central Government/State Government(s)						
	(c) Bodies Corporate	3050	0	0	3050		
	(d) Financial Institutions/Banks						
	(e) Any Other (specify)						
	Sub - Total (A) (1)	500720	0	0	500720		
(2)	Foreign						
	(a) Individuals (Non Resident Individuals/Foreign Individuals)						
	(b) Bodies Corporate						
	(c) Institutions						
	(d) Qualified Foreign Investor						
	(e) Any Other (specify)						
	Sub - Total (A) (2)	0	0	0	0		
	Total Shareholding of Promoter (A) = (A)(1) + (A)(2)	500720	0	0	500720	69.54	69.54
(B)	Public Shareholding						
(1)	Institutions						
	(a) Mutual Funds / UTI						
	(b) Financial Institutions/Banks						
	(c) Central Government/State Government(s)						
	(d) Venture Capital Funds						
	(e) Insurance Companies						
	(f) Foreign Institutional Investors						
	(g) Foreign Venture Capital Investors						
	(h) Qualified Foreign Investor						
	(i) Any Other (specify)						
	Sub - Total (B) (1)	0	0	0	0		
(2)	Non - Institutions						
	(a) Bodies Corporate	800	0	0	800		
	(b) Individuals -						
	i. Individuals Shareholders Holding Nominal Share Capital up to Rs. 1 lakh.	218480	0	0	218480		
	ii. Individuals Shareholders Holding Nominal Share Capital in excess of Rs. 1 lakh.						
	(c) Qualified Foreign Investor						
	(d) Any Other (specify)						
	i. OCB's						
	ii. Individuals (Non Resident Individuals)						
	Sub - Total (B) (2)	219280	0	0	219280		
	Total Public Shareholding (B) = (B)(1) + (B)(2)	219280	0	0	219280	30.46	30.46
	TOTAL (A)+(B)	720000	0	0	720000	100.00	100.00
(C)	Shares held by Custodians and against which- Depository Receipts have been issued	0	0	0	0		0.00
	GRAND TOTAL (A) + (B) + (C)	720000	0	0	720000	100.00	100.00

For, AASWA TRADING AND EXPORTS LIMITED



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